

500.39847X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: C. OKAMOTO, et al

Serial No.: 09/801,748

Filed: March 9, 2001

For: IDENTIFICATION CODE MANAGEMENT METHOD AND  
MANAGEMENT SYSTEM

Group: 2135

Examiner: S. Hosuk

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

**MS Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 27, 2004

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted before the mailing date of either a final action or a notice of allowance and is accompanied by the following certification specified in 37 CFR §1.97(e):

On information and belief, I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of MATTINGLY, STANGER & MALUR, P.C. Deposit Account No. 50-1417 (500.39847X00) please credit any excess fees to such deposit account.

Respectfully submitted,

  
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MATTINGLY, STANGER & MALUR, P.C.

**FORM PTO-1449 U.S. Department of  
Commerce Patent and Trademark Office**

ATTY. DOCKET NO.  
**500.39847X00**

SERIAL NO.  
**09/801,748**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT  
**C. OKAMOTO, et al**

FILING DATE  
**March 9, 2001**

GROUP  
**2135**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	9	4	9	0	4	2					
		5	8	8	9	9	4	1	3/99	Tushie et al			
		5	4	0	1	9	4	4	3/95	Bravman et al			
		5	1	5	9	6	3	5	10/92	Wang			
		4	6	5	9	9	1	4	4/87	Kondo et al			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
		9	9	0	4	3	6	4					YES	NO
		9	9	0	4	3	6	4	1/99	PCT				
		0	0	3	1	6	9	2	6/00	PCT				
		0	0	3	1	6	9	3	6/00	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Rankl W. Effing W: "Handbuch Der chipkarten, Aufbau – Funktionsweise, Einsatz Von Smart Cards", 1999, Carl Hanser Verlag, Muenchen, DE pp. 137, 138, 146-150, 169-175, 180-184, 187, 551-554, 578, 582-589.
	Rankl W. Effing W.: "Handbuch Der Chipkarten, Aufbau Funktionsweise Einsatz Von Smart Cards 1999, Hanser Verlag, Muenchen DE, pp. 127-131.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])